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PATENT

Attorney Docket No. 71416

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James ROBERGÉ et al.

Appln No.: 09/939,392

Filed: August 24, 2001

For: STRUCTURED SPEECH
RECOGNITION

Group Art

Unit: 2641

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

11/28/01

Date

Perry J. Hoffman

Registration No. 37,150

Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated October 1, 2001, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☐ _____ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to Cyberpulse, L.L.C., and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

☒ Fee Calculation:Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	_____
Surcharge under 37 C.F.R. §1.16(1)	\$ 50.00	_____
 <input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	 \$ 740.00	 _____
Independent Claims _____ - <u>3</u> = _____ x \$ 84.00 = _____		
Total Claims _____ - <u>20</u> = _____ x \$ 18.00 = _____		
Fee for Multiply Dependent Claims	\$ 280.00	_____
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	\$ <u>130.00</u>
 <input type="checkbox"/> Design Application Basic Fee	 \$ 330.00	 _____
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	_____
 Total Filing and/or Surcharge Fee	 \$	 <u>130.00</u>

☒ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \$ 65.00

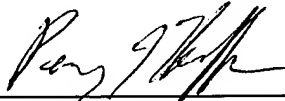
☐ A check in the amount of \$ _____ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 65.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

November 28, 2001

Date



 Perry J. Hoffman
 Registration No. 37,150

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UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,392	08/24/2001	James Roberge	71416

 22242
 FITCH EVEN TABIN AND FLANNERY
 120 SOUTH LA SALLE STREET
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 CHICAGO, IL 606033406

CONFIRMATION NO. 3939

FORMALITIES LETTER



OC000000006816541

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 VTRUONG1 00000045 061135 09939392

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